



Attorney's Docket No. 42P14870

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
William B. Chapman et al. ) Examiner: Rodriguez, Armando  
Application No.: 09/848,914 ) Art Unit: 2828  
Filed: May 4, 2001 )  
For: METHOD AND APPARATUS )  
FOR TUNING A LASER )

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 22, 2005.

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       A statement pursuant to 37 C.F.R. §1.97(e) or

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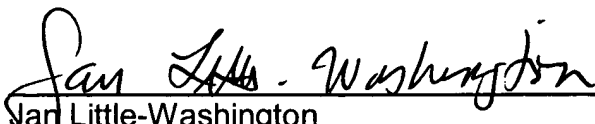
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If there are any additional charges, please charge Deposit Account No. 02-2666.

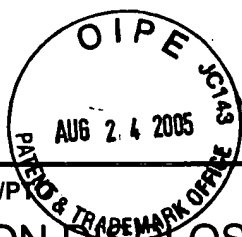
Respectfully submitted,

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Substitute for Form 1449/P <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/848,914
				Filing Date	May 4, 2001
				First Named Inventor:	William B. Chapman et al.
				Art Unit	2828
				Examiner Name	Rodriguez, Armando
Sheet	1	of	1	Attorney Docket Number	42P14870
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
	1	ZHANG, et al., "An Interference Filter Based External Cavity Laser For Dense Wavelength Division Multiplexing Applications", Corning OCA Corporation, pgs. 1-6.			
	2	TAKASHASHI, H., "Temperature Stability of Thin-Film Narrow-Bandpass Filters Produced by Ion-Assisted Deposition", Applied Optics, Vol. 34, No. 4, pgs. 667-675, Feb. 1, 1995, Supplied by the British Library - "the worlds knowledge" www.bl.uk			
	3	MELLIS et al., "Miniature Packaged External-Cavity Semiconductor Laser with 50 GHz Continuous Electrical Tuning Range", Electronics Letters Vol. 24 No. 16, pgs. 988-989, April 22, 1988, Supplied by the British Library - "the worlds knowledge" www.bl.uk			
	4	SCOBAY & STUPIK, "Stable Ultra-Narrow Bandpass Filters", SPIE, Vol. 2262, pgs. 37-46. Supplied by the British Library - "the worlds knowledge" www.bl.uk			
	5	ROSENBERG, K.P., et al., "Logarithmically Variable Infrared Etalon Filters", SPIE Vol. 2262, pgs. 223-232, Supplied by the British Library - "the worlds knowledge" www.bl.uk			
	6	FAVRE, et al., "External-Cavity Semiconductor Laser With 15nm Continuous Tuning Range", Electronics Letters, Vol. 22, No. 15, pgs. 795-796, June 17 1996, Supplied by the British Library- "the worlds knowledge" www.bl.uk			
	7	MACLEOD, H. A., "Thin Film Optical Filters", 2nd Edition, McGraw-Hill, 1989, pgs. 244-269, Supplied by the British Library - "the worlds knowledge" www.bl.uk			

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or